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Patent

Atty. Docket: H60-103 US

102116

CERTIFICATE OF MAILING

hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231 on May 8, 2002.

Thurst Ville

Annita Li Dated:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Max Wiki, et al

SERIAL NO.

10/047,530

FILED

October 23, 2001

EXAMINER

N/A

GROUP ART UNIT

3920

FOR

LIGHT COUPLING ELEMENT

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.98

Sir:

In accordance with the provisions of 37 C.F.R. §1.98, applicants submit, on the accompanying Form PTO-1449, a listing of documents which the Patent Office is asked to consider. A copy of each listed document is submitted herewith with an explanation of the relevance for any non-English listed item.

A copy of the European Search Report is also enclosed.

The submission of any document and information herewith, which is not a statutory bar, is not intended as an admission that such constitutes prior art against the claims of the present application.

Applicants do not waive any right to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document or information which is determined to be a <u>prima facie</u> prior art reference or information against the claims of the present application.

Reference AM - European Patent 1 040 874 A2:

The relevance of this references is discussed in detail on page 4 of the application.

Reference AO - German Patent 2 050 652:

This reference is relevant for its disclosure of a light filter having structures.

Reference AP - PCT Application WO 96/04123:

This reference is relevant for disclosing a self-cleaning surface having regular elevations and depressions on its surface.

The remaining references are in English and, therefore, a statement is not supplied.

Applicants respectfully request that the foregoing information and listed documents be considered by the Examiner, and formally be made of record in the present application, and that an initialized copy of said Form PTO-1449 be returned in accordance with M.P.E.P. § 609.

Entry of the Information Disclosure Statement is respectfully requested.

Respectfully submitted,

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Dated:

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PCM:al Attachments

| Page 1 | <u> </u> | | | | | | | | |
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| FORM PTO-144 | | 0-1449 Att | Docket: | H60-103 US | GAU: | 3920 | 3920 | | |
| LIST | OF PRI | MAY 1 3 200A PE | jcant(s): | Wiki, et al | | | | | |
| CITED BY APPLICANT | | | al No.: | 10/047,530 | Filed: | October : | 23, 2001 | | |
| | | MADEMARK | <u>U.S. F</u> | PATENT DOCUMENTS | <u>S</u> | | | | |
| EI* | Document Number | | Date | Name | Class Sub- class | | Filing Date | | |
| | AA | 3,354,022 | 11/21/67 | Dettre, et al | | | | | |
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| | | | | | | Class | YES | NO | |
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| | AP | WO 96/04123 | 02/15/96 | WIPO | | | <u> </u> | | |
| | _ | OTHER PRIOF | ₹ ART (includi | ing Author, Title, Date | e, Pertinent Pag | <u>ies, etc.)</u> | ···· | | |
| | AQ | Article from J. Opt. Soc. Am. A., Vol. 11, No. 10, October, 1994 - Artificial Uniaxial and Biaxial Dielectrics with Use of Two-Dimensional Subwavelength Binary Gratings, Grann, et al, Pgs. 2695-2703 | | | | | | | |
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| Exam | iner: | | Dat | Date Considered: | | | | | |
| *EXA through | gh citation | : Initial if reference cons on if not in conformance | idered, whethe e and not consi | r or not citation is in co idered. Include copy o | onformance with of this form with r | M.P.E.P. 609 next communi | ; Draw line cation to | Э | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date Considered:

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Examiner: